

REQUEST FOR PROFESSIONAL CLIENT STATUS

Criteria for Treatment of Retail clients as Professional clients

Pursuant to European MiFID Directive, we may treat a Retail client as a Professional client provided we (a) have received a written application from that client requesting that he/she be treated as such, (b) the client is warned of the protection and investor compensation which he/she may lose by opting to be treated as a Professional client instead of a Retail client, (c) the client confirms that he/she is aware of the consequences of losing such protection and (d) we are satisfied in light of the client's expertise, experience and knowledge and the nature of the transactions envisaged that such client is suitable to be treated as a Professional client. Accordingly, if you wish to be treated as a Professional client you will need to complete this request form and any additional documentation which we deem necessary to enable you to be treated as a Professional client.

Warning

In the event that your application to be treated as a Professional client is accepted, you should note that where we treat you as a professional client rather than a retail client, a number of rules will cease to apply. In particular:

Disclosures: You may not be given any of the additional disclosures required to be provided to retail clients (for example on costs, commissions, fees and charges and foreign exchange conversion rates).

Appropriateness: Where we assess whether a product or service is appropriate for you, we can assume that you have the necessary level of experience and knowledge to understand the risks involved in relation to any investment, service, product or transaction.

Suitability: If we are ever required to assess the suitability of a personal recommendation to you or (if we are providing relevant investment advice), we can assume that you have the necessary experience and knowledge to understand the risks involved, and assume that you are able financially to bear any investment risks consistent with your investment objectives.

Order execution: Our order execution requirements differ between Professional and Retail clients.

Prompt execution: We do not need to inform you of material difficulties we may have or encounter relevant to the prompt execution of your orders.

Request for Professional Client Status

I/We, the undersigned, acknowledge that I/We have sufficient and appropriate expertise and knowledge in the type of investments and transactions which may be carried out pursuant to the Agreement to be categorised as a Professional client under the rules of the CySEC.

I/We hereby request to be treated as a professional client by FX Global Markets (FXGM) Limited in respect of the Transactions.

I/We acknowledge the implications of such treatment, and also that my/our money will not be treated as Client Money under the Client Money rules of the CySEC.

In witness whereof:

1. _____
Date **Last Name** **First Name** **Signature**
2. _____
Date **Last Name** **First Name** **Signature**